

## Financial Services Guide

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### Licensee:

Resonant Asset Management Pty Ltd  
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### Contact Details

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### Purpose of this FSG

This Financial Services Guide (FSG) will help you decide whether to use the services that we offer. It contains information about:

- The services we offer
- Any conflicts of interest which may impact the services
- How we are remunerated
- How we deal with complaints if you are not satisfied with our services.

### Resonant Asset Management

Resonant Asset Management Pty Ltd holds an Australian Financial Services Licence. It is required to comply with the obligations of the Corporations Act and the conditions of its licence. This includes the need to have adequate compensation arrangements in place with a Professional Indemnity insurer for the financial services that its current and past representatives provide.

### Our services

Resonant Asset Management is authorised to provide general advice and dealing services to retail clients in the following areas:

- Managed Funds
- Securities

- MDA Services
- Superannuation

Resonant provides investment management expertise through education and training to the principals of financial planning practices. This is provided in conjunction with our investment management services on Separately Managed Accounts.

Resonant Asset Management is not authorised to provide personal advice to retail clients.

### Product Disclosure Statement

Where we provide you with advice on managed funds, we will provide you with a Product Disclosure Statement. This contains information to help you understand the product and to determine whether it is suitable for you.

### Instructions

You can provide instructions to us in writing, via phone or via email. In some cases, we may require you to provide signed instructions.

### Referral Fees and Commissions

In some situations, we may receive fees or commissions where we refer you to external parties. We will disclose the referral arrangements to you whenever we refer you to an external party.

In some situations, we may pay fees or commissions to external parties who have referred you to us. We will disclose the referral arrangements to you whenever you enter into a service arrangement with us.

### Conflicts of Interest

Resonant Asset Management is remunerated for the investment management services it provides on Separately Managed Accounts (SMA's).

Glen Holder and Nick Morton may recommend investments in shares that they or their associated parties may hold or may hold in the future. You will be advised where a conflict of interest may exist and how the conflict will be managed.

### **Adviser Remuneration**

Glen Holder and Nick Morton are the owners of Resonant Asset Management and they are remunerated through the profits of the business.

### **Making a Complaint**

We endeavour to provide you with the best advice and service at all times.

If you are not satisfied with our services, then we encourage you to contact us. Please call us or put your complaint in writing to our office.

If you are not satisfied with our response you can refer it to the Australian Financial Complaints Authority. You can contact AFCA on 1800 931 678. This service is provided to you free of charge.